

FEB 5 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xaver WIRTH et al.

Conf. No.: 5577

Serial No.: 10/578,319

Art Unit: Not yet assigned

Filed: May 4, 2006

Examiner: Not yet assigned

For: BRAKE DISK, ESPECIALLY FOR A RAIL VEHICLE

SUBMISSION UNDER 37 C.F.R. §1.56, §1.97 & §1.98

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Barnes & Thornburg Customer No:

23646

U.S. Patent and Trademark Office

Sir:

To comply with the duty of disclosure set forth in 37 C.F.R. §1.56, the prior art listed on the attached PTO-1449 is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application.

Document AA (US 6,116,386) is equivalent to Document AS (DE 197 27 333).

Document AB (US 6,808,050) is equivalent to Document AT (DE 100 28 958).

Document AC (US 3,295,641) is equivalent to Document AU (DE 15 30 241).

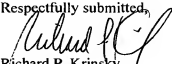
Documents AO-AR are cited in the International Search Report.

Documents AP, AQ and AS-AW were cited during the German Examination.
Documents AO, AQ, AS and AV-AW are also cited in the above-identified application.

English language abstracts are provided for Documents AO-AR, AV and AW.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 02-1010 (566/44949).

Respectfully submitted,


Richard P. Krinsky
Registration No. 47,720
(202) 289-1313
BARNES & THORNBURG LLP
750 17th Street, N.W., Suite 900
Washington, DC 20006-4675

Enclosures
98507v1

PAGE 1 OF 1

FORM PTO-1400 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 566/44949	SERIAL NO. 10/578,319
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			
APPLICANT XAVER WIRTH ET AL.			
FILING DATE MAY 4, 2006		GROUP NOT YET ASSIGNED	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,116,386	09/12/2000	MARTIN			
	AB	6,808,050	10/26/2004	LEHMANN ET AL.			
	AC	3,295,641	01/03/1967	EATON ET AL.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No
	AO	EP 0 644 349 A 1	03/22/1995	EUROPE				Abs.
	AP	DE 38 14 614 A 1	11/09/1989	GERMANY				Abs.
	AQ	DE 100 47 980 C 2	04/18/2002	GERMANY				Abs.
	AR	EP 0 159 639	10/30/1985	EUROPE				Abs.
	AS	DE 197 27 333 C 2	01/28/1999	GERMANY				
	AT	DE 100 28 958 A 1	02/14/2002	GERMANY				
	AU	DE 15 30 241	10/09/1969	GERMANY				
	AV	EP 0 683 331 B 1	11/22/1995	EUROPE				Abs.
	AW	EP 0 589 408 B 1	03/30/1994	EUROPE				Abs.

OTHER PRIOR ART (Including Author, Title, Date Pertinent Pages, Etc.)

	AW	
	AX	
	AY	
	AZ	

EXAMINER: /Xuan Lan Nguyen/

DATE CONSIDERED: 03/22/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /XLN/